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BEFORE THE ARIZONA CORPORATION COMMISSION

AZ CORP COMMISSION
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3 **CARL J. KUNASEK**

Chairman

4 **JIM IRVIN**

Commissioner

5 **WILLIAM A. MUNDELL**

6 Commissioner

7 IN THE MATTER OF:)

DOCKET NO. S-03297A-99-0000

8 PREMIERE FINANCIAL GROUP, INC.)

9 an Arizona corporation)

1245 West Chandler Blvd., #30)

10 Chandler, AZ 85224)

11 HERITAGE PROPERTIES, INC.)

12 an Arizona corporation)

1245 West Chandler Blvd., #30)

13 Chandler, Arizona 85224)

14 ESTATE GUARDIAN SERVICES, INC.)

15 a dissolved Arizona corporation)

500 W. Ray Road, #1)

16 Chandler, AZ 85224)

17 JOHN H. LAWSON)

18 15643 S. 6th Place)

19 Phoenix, AZ 85048)

20 Respondents.)

21 IN THE MATTER OF:)

DOCKET NO. S-03358A-99-0000

22 JOHN H. LAWSON)

23 15643 S. 6th Place)

Phoenix, AZ 85048)

24 PREMIERE FINANCIAL GROUP, INC)

25 an Arizona corporation)

26 1256 West Chandler Blvd., #30)

Chandler, AZ 85224)

Arizona Corporation Commission

DOCKETED

DOCKETED BY

AND

RESONDENTS' LIST OF
WITNESSES AND EXHIBITS

1
2 Respondents.
3)
4)
5)

6 Pursuant to agreement of the parties, Respondents Premiere Financial Group, Inc.,
7 Heritage Properties, Inc., Estate Guardian Services, Inc. and John H. Lawson (hereinafter
8 collectively referred to as "Respondnets") hereby submit the following list of Witnesses and
9 Exhibits:

10 **A. WITNESSES.**

11 Respondents reserve the right to call the following witnesses at hearing in the herein
12 captioned matter:

- 13 1. Any and all individuals alleged to have purchased promissory notes issued by
14 Premier Financial Group, Inc., Heritage Properties, Inc., Estate Guardian Services,
15 Inc. and John H. Lawson;
- 16 2. Any and all individuals alleged to have been sold promissory notes issued by
17 Legend Sports, Inc., Ameritech Petroleum, Inc. and Sweetwater Development
18 Company;
- 19 3. Any and all individuals called or otherwise listed as witnesses by the Securities
20 Division of the Arizona Corporation Commission in connection with the herein
21 captioned matter;
- 22 4. David Trotter;
- 23 5. Merle S. Finkel;
- 24 6. John Belles;
- 25 7. Russell Jones;
- 26 8. Sheri Streeter;
9. Lynn Doniero;

10. Michael Smedinghoff;
11. Marcia Shivers;
11. Any and all necessary foundation witnesses;
12. Any and all rebuttal witness;

Respondents reserve the right to call as a witness in this matter experts on the issue of Securities registration exemptions and/or the exclusion of notes of the nature allegedly sold in the herein in matter from the definition of "securities." If and when such expert is identified, respondents will amend the herein Witness & Exhibit List to reflect the same.

B. EXHIBITS.

Respondents reserve the right to offer the following exhibits at hearing in the herein captioned matter:

1. The alleged Promissory Notes allegedly offered for sale and sold by respondents;
2. The alleged Promissory Notes allegedly offered for sale by Legend Sports, Inc., Ameritech Petroleum, Inc. and Sweetwater Development Company;
3. All documents relating to the "Chandler Properties";
4. Any and all documents offered or otherwise listed as exhibits by the Securities Division of the Arizona Corporation Commission in the herein captioned matter;
5. Any and all documents produced by the Securities Division in response to any of Respondents discovery requests;
6. Any and all documents necessary for cross-examination, impeachment or rebuttal;
7. Summaries or excerpts of any of the foregoing;
8. Any documents not yet revealed in pre-hearing discovery;

1
2 Respondents reserve the right to supplement this Witness & Exhibit List.

3 RESPECTFULLY SUBMITTED this 15th day of September, 1999.

4 JOHN R. AUGUSTINE, JR., P.C.

5
6 By 

7 John R. Augustine, Jr.

8 The Citadel, Suite 300

9 2727 North Third Street

10 Phoenix, Arizona 85004-1106

11 Attorney for Premiere Financial Group, Inc.,

12 Heritage Properties, Inc. and John H. Lawson

13 ORIGINAL AND TEN COPIES
14 of the foregoing filed this 15th day
15 of September, 1999 with:

16 Docket Control
17 Arizona Corporation Commission
18 1200 West Washington Street
19 Phoenix, Arizona 85007

20 COPY of the foregoing mailed this
21 15th day of September, 1999 to:

22 Mark Dinell; Esq.
23 Ariz. Corp. Comm'n/Securities Division
24 1300 West Washington Street, 3rd Floor
25 Phoenix, Arizona 85007

26 
John R. Augustine, Jr

D:\...PremiereFinancialGroup\P\Respondents' List of W & E